

Serial No.:

**REMARKS**

This Preliminary Amendment is submitted to improve the form of the specification as originally-filed. A substitute specification and marked-up copy of the original specification are enclosed. No new matter is added to these documents.

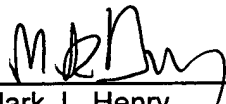
It is respectfully requested that this Preliminary Amendment be entered in the above-referenced application.

If any further fees are required in connection with the filing of this Preliminary Amendment, please charge same to our Deposit Account No. 19-3935.

Respectfully submitted,

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~~Description~~ TITLE OF THE INVENTION

METHOD FOR SYNCHRONIZING A RADIO COMMUNICATION SYSTEM DIVIDED INTO RADIO CELLS AND A BASE STATION AND MOBILE STATION IN SUCH A SYSTEM

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application is based on and hereby claims priority to PCT. Application No. PCT/EP2004/051395 filed July 7, 2004, and German Application No. 10336312.2 filed on August 7, 2003, the contents of which are hereby incorporated by reference.

BACKGROUND OF THE INVENTION

**[0002]** The invention relates to a method for synchronizing a radio communication system divided into radio cells ~~according to the preamble of claim 1 and a base station, a mobile station and a radio communication system in such a system.~~

**[0003]** Cellular radio communication systems, in particular mobile radio systems, are subdivided into synchronized and unsynchronized radio communication systems.

**[0004]** In the case of the former, base stations of adjacent radio cells are synchronized with each other in respect of time and/or carrier frequency. For the purposes of synchronization, in particular for time synchronization, GPS receivers are for example deployed at the base stations or base stations are synchronized ~~by means of~~by synchronization signals that are complex to exchange. The transmission of synchronization signals also takes up radio transmission resources, which are then no longer available for chargeable user data transmissions (payload).

**[0005]** In the case of unsynchronized radio communication systems, base stations of adjacent cells are not synchronized with each other.

**[0006]** Synchronization methods are particularly important in mobile radio networks in particular, when so-called Orthogonal Frequency Division Multiplexing or OFDM transmission methods are used. Services requiring high data speeds, for example video transmissions, can be transmitted in a cost-effective manner ~~by means of~~by OFDM data transmissions. OFDM data transmission takes place ~~by means of~~by so-called subcarriers, which are formed by subdividing

an available bandwidth. As these subcarriers are particularly advantageously used in a multiple manner in adjacent cells, resulting co-channel interference should be taken into account during planning and operation.

**[0007]** So that radio transmission resources can be allocated or managed (Radio Resource Management RRM) in an optimum manner in respect of data transmission, frequency and/or time synchronization must be precise, depending on the radio transmission methods used in each instance. The two synchronization methods mentioned by way of example, because they are based on received mobile station signals, are largely dependent both on the quality and number of received mobile station signals, as far as precision is concerned.

#### SUMMARY OF THE INVENTION

~~The~~ One possible object of the present invention is therefore to specify a synchronization method with a low level of complexity for a radio communication system with a cellular structure, in particular a mobile radio system with OFDM data transmission. ~~The object of the invention is achieved by the features of claim 1. Advantageous developments are specified in the subclaims.~~

~~[0010]~~**[0008]** ~~The claimed method allows synchronization to be achieved in respect of time and/or frequency in a cellular radio communication system~~ with in a simple means manner with the aid of pilot signals.

~~[0011]~~**[0009]** ~~The use of cost-intensive GPS receivers in particular is thereby dispensed with, as is the transmission of additional signaling information for synchronization purposes, as had to be exchanged previously on a higher protocol level between base station and mobile station.~~

~~[0012]~~**[0010]** ~~The claimed synchronization~~ Synchronization is implemented independently and exclusively ~~by means of~~ by receive-side signal processing and subsequent adjustment of the synchronization status of the base stations or mobile stations.

~~[0013]~~**[0011]** ~~The claimed use of pilot~~ Pilot signals means are used, for example, so that pilot signal overlay takes place within each radio cell, advantageously resulting in a high signal-to-noise ratio of the pilot signal at the base station in question. Receive-side evaluation of the pilot signals for synchronization purposes therefore takes place, even if the receive conditions are unfavorable.

[0014][0012] The ~~claimed~~ method advantageously allows pilot signal overlay with a high signal-to-noise ratio to be achieved at the base station in question, said high signal-to-noise ratio allowing the receive-side evaluation of pilot signals for synchronization purposes to take place, even if the receive conditions are unfavorable.

[0015][0013] With the ~~claimed~~ method the proposed pilot signal structure and the in particular randomly occurring selection of the pilot signal mean that no central control device is required to emit the respective pilot signals.

The ~~claimed~~ pilot signal selection and assignment, which take place for example in a radio-cell-specific manner and optionally by random selection, allow radio-cell-dependent weighting of received mobile station signals and base station signals. When determining the synchronization value for the frequency and/or time synchronization to be implemented, it is therefore possible to weight the synchronization value estimation in respect of the radio cells, so that even weakly received mobile station and base station signals are taken into account. ~~The invention is described in more detail below with reference to the drawing, in which:~~

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0018][0014] These and other objects and advantages of the present invention will become more apparent and more readily appreciated from the following description of the preferred embodiments, taken in conjunction with the accompanying drawings of which:

Figure 1 shows ~~the claimed~~ a synchronization method according to one embodiment of the invention, in a radio communication system with a cellular structure,

Figure 2 shows a pilot signal subcarrier receive situation at a base station as illustrated in Figure 1,

Figure 3 shows a frame, with the aid of which both useful data and pilot signals are transmitted, with reference to Figures 1 and 2,

Figure 4 shows the overlaying of mobile station signals from a common radio cell at a base station and

Figure 5 shows the overlaying of mobile station signals from adjacent radio cells at a base station.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

~~[0019]~~**[0015]** Reference will now be made in detail to the preferred embodiments of the present invention, examples of which are illustrated in the accompanying drawings, wherein like reference numerals refer to like elements throughout.

~~[0020]~~**[0016]** Figure 1 shows ~~the claimed~~one embodiment of a synchronization method in a radio communication system with a cellular structure.

~~[0021]~~**[0017]** A cellular radio communication system is considered, which represents other mobile radio systems, in which a data transmission is implemented ~~by means of~~by an OFDM transmission method, such that the data transmission takes place ~~by means of~~by a frame structure based on time slots and Frequency Division Multiple Access FDMA.

~~[0022]~~**[0018]** An available bandwidth is subdivided into so-called subcarriers and different users transmit in time slots on different subcarriers.

~~[0023]~~**[0019]** Base stations of adjacent radio cells share the use of a stock of radio transmission resources, formed by subcarrier time slots. Adjacent radio cells therefore have a frequency repetition factor of one in respect of the subcarriers.

~~[0024]~~**[0020]** Three adjacent radio cells FZ1 to FZ3 each have a base station BTS1 to BTS3. Each individual base station BTS1 to BTS3 covers a number of mobile stations MT11 to MT33 assigned to the respective radio cell FZ1 to FZ3. A total of four mobile stations MT11 to MT14 is thereby assigned to a first base station BTS1 for radio coverage purposes, a total of five mobile stations MT21 to MT25 is thereby assigned to a second base station BTS2 and a total of three mobile stations MT31 to MT33 is thereby assigned to a third base station BTS3 for radio coverage purposes.

~~[0025]~~**[0021]** ~~According to the invention, t~~The first base station BTS1, representing all the others, selects two pilot signal subcarriers TS11 and TS12 from the available subcarriers for a pilot signal transmission based on OFDM data transmission. The first base station BTS1 notifies the assigned mobile stations MT11 to MT14 for example of the selected pilot signal subcarriers TS11 to TS12 by direct signaling.

**[0026][0022]** These selected pilot signal subcarriers TS11 to TS12 are used in parallel with other subcarriers, which are assigned to a useful data transmission, to transmit a data frame to be sent in a downlink.

**[0027][0023]** In contrast to direct signaling of the pilot signal subcarriers used, it is also possible to use tables disposed on the transmit side and receive side, in which pilot signal subcarrier pairs to be used are stored respectively. In this instance the base station notifies the assigned mobile stations of a corresponding subcarrier pair by reference to a table input.

**[0028][0024]** Random selection of pilot signal subcarrier pairs can also take place with the aid of a hopping pattern with a defined number of pilot signal subcarriers. The assigned mobile stations are then notified of the hopping pattern for example.

**[0029][0025]** The signaled pilot signal subcarriers TS11, TS12 are also used by the mobile stations MT11 to MT14 for pilot signal transmission in an uplink to the first base station BTS1.

**[0030][0026]** Selection of the pilot signal subcarriers by the base station BTS1 is advantageously random and is carried out in an alternating manner frame by frame, as a result of which the pilot signal subcarriers alternate frame by frame both in the uplink and in the downlink.

**[0031][0027]** The same applies to the second base station BTS2 and the third base station BTS3 of the adjacent radio cells FZ2 and FZ3. The second base station BTS2 for example selects two pilot station subcarriers TS21 and TS22, which it signals in the downlink to the assigned mobile stations MT21 to MT25, while the third base station BTS3 for example selects two pilot station subcarriers TS31 and TS32 and signals them correspondingly to the mobile stations MT31 to MT33 assigned to it.

**[0032][0028]** For their part the mobile stations MT21 to MT25 use the pilot signal subcarriers TS21 and TS22 assigned to them for a pilot signal transmission in the uplink to the second base station BTS2, while the mobile stations MT31 to MT33 use the pilot signal subcarriers TS31 and TS32 assigned to them for a pilot signal transmission in the uplink to the third base station BTS3.

**[0033][0029]** ~~The claimed synchronization~~ Synchronization is described in more detail with reference to the first radio cell FZ1, which also represents the adjacent radio cells FZ2, FZ3. Synchronization here refers to a time synchronization of time slots and/or a frequency synchronization of the subcarriers used.

**[0034][0030]** The first base station BTS1 of the first radio cell FZ1 receives both the pilot signals TS11, TS12 of the mobile stations MT11 to MT14 assigned to it and for example the pilot signals TS21, TS22, TS31, TS32 from the mobile stations MT21, MT22, MT31, MT32 of the adjacent radio cells FZ2 and FZ3 in the uplink UL. On the basis of the received pilot signals TS11, TS12, TS21, TS22, TS31 and TS32 the first base station BTS1 determines a first time deviation and/or a first frequency deviation and uses these values to derive an appropriate synchronization value for time and/or frequency synchronization, to which the first base station BTS1 is synchronized.

**[0035][0031]** A third mobile station MT13 of the first radio cell FZ1, which represents all the mobile stations, receives both pilot signals TS11, TS12 from the base station BTS1 of its own radio cell FZ1 and pilot signals TS21, TS22, TS31, TS32 from the adjacent base stations BTS2 and BTS3 of the radio cells FZ2 and FZ3 in the downlink. On the basis of the received pilot signals TS11, TS12, TS21, TS22, TS31 and TS32 the third mobile station M13 now determines a second time deviation and/or a second frequency deviation and uses these values to derive an appropriate synchronization value for time and/or frequency synchronization, to which the mobile station MT13 is synchronized.

**[0036][0032]** ~~This claimed s~~ Synchronization is repeated frame by frame, as a result of which a precise, automatically organized time and/or frequency synchronization is achieved on average over time.

**[0037][0033]** With reference to Figure 1, Figure 2 shows a pilot signal subcarrier receive situation at the first base station BTS1. Subcarrier frequencies  $f$  are thereby plotted on the horizontal axis and symbols SYMB on the vertical axis.

**[0038][0034]** The first base station BTS1 receives both the pilot signal subcarriers TS11 and TS12 from the mobile stations MT11 to MT14 that can be assigned to the first radio cell FZ1 and the pilot signal subcarriers TS21 and TS22 from the mobile stations MT21 and MT22 that can

be assigned to the second radio cell FZ2 and the pilot signal subcarriers TS31 and TS32 from the mobile stations MT31 and MT32 that can be assigned to the third radio cell FZ3.

**[0039][0035]** During pilot signal transmission no symbols SYMB – shown here as circular markings on the horizontal axis - are transmitted by the further available subcarriers.

**[0040][0036]** The subcarrier pairs TS11 and TS12, TS21 and TS22, TS31 and TS32 are separated by an unused subcarrier band GB, which prevents intercarrier interference ICI for the duration of the pilot signal.

**[0041][0037]** Figure 3 shows a frame Fr, which is used to transmit both useful data Data and pilot signals Test, with reference to Figures 1 and 2.

**[0042][0038]** Available subcarriers sub are thereby plotted on the vertical axis, while a pattern over time Time of the frame fr is shown on the horizontal axis.

**[0043][0039]** The frame Fr has a first block Data used to transmit useful data, the useful data transmission being implemented with the aid of an OFDM data transmission not described in more detail here. A second block Test follows the first block Data, said second block Test being used for pilot signal transmission.

**[0044][0040]** In a preferred embodiment two directly adjacent subcarriers TS11 and TS12 or TS21 and TS22 or TS31 and TS32 respectively are selected as pilot signals by each base station. A time deviation, for example from the first base station BTS1 to the mobile stations MT11 to MT14, MT21, MT22, MT31 and MT32 is determined by estimation on the basis of two adjacent pilot signal subcarriers, each transmitting the same symbols.

**[0045][0041]** At least two successive symbols SYM1 and SYM2 or SYM2 and SYM3 of the pilot signal subcarriers TS11 and TS12 or TS21 and TS22 or TS31 and TS32 are used to determine a frequency synchronization value for a frequency synchronization. The use of three symbols SYM1 to SYM3 improves the precision of the estimation carried out to generate the frequency synchronization value, as this prevents so-called intersymbol interference ISI during the evaluation for synchronization purposes.



**[0046][0042]** The pilot signal subcarriers TS11 and TS12 or TS21 and TS22 or TS31 and TS32 of a radio cell are ideally directly adjacent, but it is also possible for there to be an interval between the two pilot signal subcarriers TS11 and TS12 or between the two pilot signal subcarriers TS21 and TS22 or between the two pilot signal subcarriers TS31 and TS32. This interval should be selected such that the individual pilot signal subcarriers are separated from each other according to a predefinable minimum phase deviation.

**[0047][0043]** Figure 4 shows a segment of the overlay of mobile station signals from the mobile stations MT11 to MT12 of the common radio cell FZ1 at the base station BTS1. A transmit power TX Power is thereby plotted on the vertical axis with a pattern over time Time of a frame on the horizontal axis, while a third axis is used to show subcarrier frequencies Frequency.

**[0048][0044]** The three mobile stations MT11 to MT13 each simultaneously use pilot signal subcarriers testsub with the same structure within an area Test in the uplink.

**[0049][0045]** In contrast in an area Data the respective useful data transmission from the respective mobile station MT11 to MT13 takes place with the aid of subcarriers datasub.

**[0050][0046]** Additive overlaying of the mobile station signals sent from the mobile stations MT11 to MT13 takes place at the base station BTS1, with a significant rise in the signal level being achieved in the area Test, without an increase in transmit power being required on the part of the mobile stations MT11 to MT13 for this purpose.

**[0051][0047]** To summarize, standard symbols of the pilot signals subcarriers testsub are transmitted simultaneously within a radio cell from all mobile stations MT11 to MT13, thereby achieving a rise in the receive level of the cumulative signal at the base station BTS1 in question. A maximum frequency interval is ideally used between the subcarrier pairs used for the pilot signal transmission. A phase-continuous pilot signal is transmitted in an advantageous embodiment.

**[0052][0048]** An OFDM symbol symb and an OFDM subcarrier sub are input at the mobile station MT12, representing the mobile stations MT11 to MT13 and the base station BTS1.

**[0053][0049]** Figure 5 shows a segment of the overlaying of mobile station signals of adjacent radio cells FZ1 to FZ3 at a receiving base station BTS1.

**[0054][0050]** A transmit power TX Power is thereby plotted on the vertical axis and a pattern over time Time of a frame is plotted on the horizontal axis, while a third axis is used to show subcarrier frequencies Frequency.

**[0055][0051]** A useful data transmission again takes place in the area Data, while a pilot signal transmission with corresponding pilot signal subcarriers again takes place in the area Test.

**[0056][0052]** Mobile station signals from the three radio cells FZ1 to FZ3 are cumulatively overlaid with respectively assigned pilot signal subcarrier pairs TS11 and TS12, TS21 and TS22 and TS31 and TS32 at the base station BTS1.

**[0057][0053]** Every received subcarrier pair TS11 and TS12, TS21 and TS22 and TS31 and TS32 can be assigned to a radio cell FZ1 to FZ3. This means that the time and frequency deviations occurring in adjacent radio cells can be determined individually in each base station.

**[0054]** The invention has been described in detail with particular reference to preferred embodiments thereof and examples, but it will be understood that variations and modifications can be effected within the spirit and scope of the invention covered by the claims which may include the phrase "at least one of A, B and C" as an alternative expression that means one or more of A, B and C may be used, contrary to the holding in *Superguide v. DIRECTV*, 69 USPQ2d 1865 (Fed. Cir. 2004).